

# Continuous extensions of functions defined on subsets of products<sup>☆,☆☆</sup>

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## Abstract

A subset  $Y$  of a space  $X$  is  $G_\delta$ -dense if it intersects every nonempty  $G_\delta$ -set. The  $G_\delta$ -closure of  $Y$  in  $X$  is the largest subspace of  $X$  in which  $Y$  is  $G_\delta$ -dense.

The space  $X$  has a *regular  $G_\delta$ -diagonal* if the diagonal of  $X$  is the intersection of countably many regular-closed subsets of  $X \times X$ .

Consider now these results: (a) [N. Noble, 1972] every  $G_\delta$ -dense subspace in a product of separable metric spaces is  $C$ -embedded; (b) [M. Ulmer, 1970/73] every  $\Sigma$ -product in a product of first-countable spaces is  $C$ -embedded; (c) [R. Pol and E. Pol, 1976, also A. V. Arhangel'skiĭ, 2000; as corollaries of more general theorems], every dense subset of a product of completely regular, first-countable spaces is  $C$ -embedded in its  $G_\delta$ -closure.

The present authors' Theorem 3.10 concerns the continuous extension of functions defined on subsets of product spaces with the  $\kappa$ -box topology. Here is the case  $\kappa = \omega$  of Theorem 3.10, which simultaneously generalizes the above-mentioned results.

**Theorem.** Let  $\{X_i : i \in I\}$  be a set of  $T_1$ -spaces, and let  $Y$  be dense in an open subspace of  $X_I := \prod_{i \in I} X_i$ . If  $\chi(q_i, X_i) \leq \omega$  for every  $i \in I$  and every  $q$  in the  $G_\delta$ -closure of  $Y$  in  $X_I$ , then for every regular space  $Z$  with a regular  $G_\delta$ -diagonal, every continuous function  $f : Y \rightarrow Z$  extends continuously over the  $G_\delta$ -closure of  $Y$  in  $X_I$ .

Some examples are cited to show that the hypothesis  $\chi(q_i, X_i) \leq \omega$  cannot be replaced by the weaker hypothesis  $\psi(q_i, X_i) \leq \omega$ .

*Key words:* Product space,  $G_\delta$ -dense subset,  $C$ -embedded subspace,  $P$ -space,

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<sup>☆</sup>Respectfully dedicated to Dikran Dikranjan, mathematician and educator, on the occasion of his 60<sup>th</sup> birthday.

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$G_\kappa$ -set,  $\overline{G_\kappa}$ -set,  $P(\kappa)$ -point,  $P(\kappa)$ -space,  $G_\kappa$ -diagonal,  $\overline{G_\kappa}$ -diagonal,  
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## 1. Notation and terminology

Topological spaces considered here are not subjected to any standing separation properties. Additional hypotheses are imposed as required. Throughout this paper,  $\omega$  is the least infinite cardinal,  $\kappa$  and  $\alpha$  are infinite cardinals. For  $I$  a set we define  $[I]^{<\kappa} := \{J \subseteq I : |J| < \kappa\}$ , the symbol  $[I]^{\leq \kappa}$  is defined analogously. For  $X$  a space and  $x \in X$ , a set  $U \subseteq X$  is a *neighborhood* of  $x$  in  $X$  if  $x$  is in the interior of  $U$  in  $X$ . For  $A \subseteq X$  we denote by  $\mathcal{N}_X(A)$ , or simply by  $\mathcal{N}(A)$  when ambiguity is unlikely, the set of open sets in  $X$  containing  $A$ . A point  $x \in X$  is a  $P(\kappa)$ -point of  $X$  if  $\cap \mathcal{V}$  is a neighborhood of  $x$  whenever  $\mathcal{V} \subseteq \mathcal{N}(x)$  and  $|\mathcal{V}| < \kappa$ ;  $X$  is a  $P(\kappa)$ -space provided each point  $x \in X$  is a  $P(\kappa)$ -point. Clearly, every topological space is a  $P(\omega)$ -space. The  $P(\omega^+)$ -spaces are often called  $P$ -spaces (cf. [15] and sources cited there).

For  $X$  a space,  $x \in X$  and  $A \subseteq X$ ,  $x$  belongs to the  $G_\kappa$ -closure of  $A$  in  $X$  if  $(\cap \mathcal{V}) \cap A \neq \emptyset$  whenever  $\mathcal{V} \subseteq \mathcal{N}(x)$  and  $|\mathcal{V}| < \kappa$ . A set  $A \subseteq X$  is a  $G_\kappa$ -set [resp., a  $\overline{G_\kappa}$ -set] in  $X$  if there exists  $\mathcal{V} \subseteq \mathcal{N}_X(A)$  such that  $|\mathcal{V}| < \kappa$  and  $A = \cap \mathcal{V}$  [resp.,  $A = \cap \{\overline{V} : V \in \mathcal{V}\}$ ]. (Thus, the familiar  $G_\delta$ -sets are exactly the  $G_{\omega^+}$ -sets.)  $V$  is a  $G_\kappa$ -neighborhood of  $x$  if there exists a  $G_\kappa$ -set  $U$  such that  $x \in U \subseteq V$ .

The symbol  $\chi(x, X)$  denotes the character (i.e., the local weight) of the point  $x$  in the space  $X$ ;  $\chi(X) := \sup\{\chi(x, X) : x \in X\}$ ; for  $x \in X$  with  $X$  a  $T_1$ -space,  $\psi(x, X)$  denotes the pseudocharacter of the point  $x$  in  $X$ ; and  $\psi(X) := \sup\{\psi(x, X) : x \in X\}$ .

For spaces  $X$  and  $Z$  and  $Y \subseteq Z$ , the symbol  $C(Y, Z)$  denotes the set of all continuous functions  $f : Y \rightarrow Z$ . The set  $C(Y, \mathbb{R})$  is denoted by  $C(Y)$ . The subspace  $Y$  of  $X$  is  $C(Z)$ -embedded in  $X$  provided each function  $f \in C(Y, Z)$  extends continuously over  $X$ . When  $Z = \mathbb{R}$ ,  $Y$  is said to be  $C$ -embedded.

Below we use the simple fact (which we will not mention again explicitly) that when  $Y$  is dense in  $X$  and  $Z$  is a regular  $T_1$ -space, a function  $f \in C(Y, Z)$  extends continuously over  $X$  if and only if  $f$  extends continuously to each point of  $X \setminus Y$  (restated:  $Y$  is  $C(Z)$ -embedded in  $X$  if and only if  $Y$  is  $C(Z)$ -embedded in  $Y \cup \{q\}$  for each  $q \in X \setminus Y$ ); in this connection see [6], [18].

For a set  $\{X_i : i \in I\}$  of sets and  $J \subseteq I$ , we write  $X_J := \prod_{i \in J} X_i$ ; and for every *generalized rectangle*  $A = \prod_{i \in I} A_i \subseteq X_I$  the *restriction set of  $A$* , denoted  $R(A)$ , is the set  $R(A) = \{i \in I : A_i \neq X_i\}$ . When each  $X_i = (X_i, \mathcal{T}_i)$  is a space, the symbol  $(X_I)_\kappa$  denotes  $X_I$  with the  $\kappa$ -box topology; this is the topology for which  $\{U : U = \prod_{i \in I} U_i, U_i \in \mathcal{T}_i, |R(U)| < \kappa\}$  is a base. Thus the  $\omega$ -box topology on  $X_I$  is the usual product topology. We note that even when  $\kappa$  is regular, the intersection of fewer than  $\kappa$ -many sets, each open in  $(X_I)_\kappa$ , may fail to be open in  $(X_I)_\kappa$ .

For  $X_I$  as above and  $q \in X_I$ , the  $\Sigma$ -product in  $X_I$  based at  $q$  is the set

$$\Sigma(q) := \{x \in X_I : |\{i \in I : x_i \neq q_i\}| \leq \omega\}.$$

For additional topological definitions not given here see [14], [15], or [11].

## 2. Introduction

The problem of determining conditions on a space  $X$  and a proper, dense subspace  $Y$  under which  $Y$  is  $C$ -embedded in  $X$  has generated considerable attention in the literature. H. Corson [12], I. Glicksberg [16], R. Engelking [13], N. Noble [19], N. Noble and M. Ulmer [20], M. Ulmer [23], [24], M. Hušek [17], R. Pol and E. Pol [21], A. V. Arhangel'skiĭ [4] and many others have achieved nontrivial results for the case where  $X$  is a product space. As is indicated in [11], [9], and [8], many of their results admit generalizations to product spaces with the  $\kappa$ -box topology.

The present paper continues that initiative. We remark on two features of our principal result, Theorem 3.10: (a) the basic case  $\kappa = \omega$ , subsumes simultaneously the results of Noble [19], Ulmer [24], Pol and Pol [21], and Arhangel'skiĭ [4] cited in the abstract; and (b) unlike those results it is *local* in flavor in the sense that it gives conditions on points  $q \in X_I \setminus Y$  sufficient to ensure that functions  $f \in C(Y, Z)$  extend continuously to  $q$ —conditions which in natural circumstances may fail for other points  $q' \in X_I \setminus Y$  (to which  $f \in C(Y, Z)$  may fail to extend).

So far as we know, Ulmer was the first to show [23], [24] that not every  $\Sigma$ -product in every (Tychonoff) product space is  $C$ -embedded. Modifying his examples, we showed in [9] that in a (suitably constructed) product space  $X_I$ , even a  $G_\delta$ -dense set of the form  $X_I \setminus \{q\}$  need not be  $C$ -embedded, even when  $\psi(X_i) \leq \omega$  for each  $i \in I$ .

## 3. Main results

**Definition 3.1.** Let  $\kappa \geq \omega$  and let  $Z$  be a space. Then

- (a)  $\Delta(Z) := \{(z, z) \in Z \times Z : z \in Z\}$  is the *diagonal* of  $Z$ ; and
- (b)  $Z$  has a  $G_\kappa$ -*diagonal* [resp., a  $\overline{G_\kappa}$ -*diagonal*] if  $\Delta(Z)$  is a  $G_\kappa$ -set [resp., a  $\overline{G_\kappa}$ -set] in  $Z \times Z$ .

**Remark 3.2.** (a) Spaces with a  $G_{\omega+}$ -diagonal are those with a  $G_\delta$ -*diagonal*. The spaces with a  $\overline{G_{\omega+}}$ -diagonal are also called spaces with *regular  $G_\delta$ -diagonal*.

(b) Clearly every space  $Z$  with a  $\overline{G_\kappa}$ -diagonal has a  $G_\kappa$ -diagonal but the converse is not true in general. Indeed Shakhmatov [22] has shown that there are Tychonoff c.c.c. spaces of arbitrarily large cardinality with a  $G_{\omega+}$ -diagonal, while Buzyakova [7], answering a question of Arhangel'skiĭ [2], showed that every regular c.c.c. space with a  $\overline{G_{\omega+}}$ -diagonal has cardinality at most  $\mathfrak{c}$ .

We begin with two lemmas.

**Lemma 3.3.** *Let  $\alpha$  be an infinite cardinal and let  $X, Y$  and  $Z$  be spaces such that  $Y \subseteq X$  and  $Z$  has a  $G_{\alpha+}$ -diagonal [resp., a  $\overline{G_{\alpha+}}$ -diagonal]—say  $\Delta(Z) = \cap \{O_\eta : \eta < \alpha\}$  [resp.,  $\Delta(Z) = \cap \{\overline{O_\eta} : \eta < \alpha\}$ ] with each  $O_\eta \in \mathcal{N}(\Delta(Z))$ . Let*

$f \in C(Y, Z)$  and  $q \in X \setminus Y$  belong to the  $G_{\alpha^+}$ -closure of  $Y$  in  $X$ . If for each  $\eta < \alpha$  there is  $U_\eta \in \mathcal{N}(q)$  such that  $(f(y), f(y')) \in O_\eta$  [resp.,  $(f(y), f(y')) \in \overline{O_\eta}$ ] whenever  $y, y' \in U_\eta \cap Y$ , then the set  $U := \bigcap_{\eta < \alpha} U_\eta$  is a  $G_{\alpha^+}$ -neighborhood of  $q$  in  $X$  such that  $f$  is constant on  $U \cap Y$ .

**Proof.** Suppose there are  $y, y' \in Y \cap U$  such that  $f(y) = z \neq z' = f(y')$ . Since  $(z, z') \notin \Delta(Z)$  there is  $\eta < \alpha^+$  such that  $(z, z') \notin O_\eta$  [resp.,  $(z, z') \notin \overline{O_\eta}$ ]. But since  $y, y' \in Y \cap U_{O_\eta}$  we have  $(z, z') = (f(y), f(y')) \in O_\eta$  [resp.,  $(z, z') = (f(y), f(y')) \in \overline{O_\eta}$ ], a contradiction.  $\square$

**Lemma 3.4.** *Let  $\alpha$  be an infinite cardinal and let  $X, Y$  and  $Z$  be spaces such that  $Y \subseteq X$ . Let  $f \in C(Y, Z)$  and  $q \in X \setminus Y$  belong to the  $G_{\alpha^+}$ -closure of  $Y$  in  $X$  and there are a  $G_{\alpha^+}$ -neighborhood  $U$  of  $q$  in  $X$  and  $z \in Z$  such that  $f(y) = z$  for all  $y \in U \cap Y$ . If  $X$  is a  $T_1$ -space and for each  $O \in \mathcal{N}(\Delta(Z))$  there is  $U_O \in \mathcal{N}(q)$  such that  $(f(y), f(y')) \in O$  whenever  $y, y' \in U_O \cap Y$ , then  $\bar{f} : Y \cup \{q\} \rightarrow Z$  defined by the rule*

$$\bar{f}|_Y = f, \quad \bar{f}(q) = z$$

*is continuous.*

**Proof.** Since  $Y$  is open in  $Y \cup \{q\}$ , the function  $\bar{f}$  remains continuous at each  $y \in Y$ . To show that  $\bar{f}$  is continuous at  $q$  it is enough to show that for every  $W \in \mathcal{N}(z)$  there is  $O \in \mathcal{N}(\Delta(Z))$  such that  $\bar{f}[U_O \cap (Y \cup \{q\})] \subseteq W$ . If that fails then for every  $O \in \mathcal{N}(\Delta(Z))$  there is  $y_O \in U_O \cap Y$  such that  $f(y_O) \notin W$ . Then

$$(W \times W) \cap \overline{\{(z, f(y_O)) : O \in \mathcal{N}(\Delta(Z))\}} = \emptyset,$$

hence

$$\Delta(Z) \cap \overline{\{(z, f(y_O)) : O \in \mathcal{N}(\Delta(Z))\}} = \emptyset$$

and therefore with

$$\tilde{O} := (Z \times Z) \setminus \overline{\{(z, f(y_O)) : O \in \mathcal{N}(\Delta(Z))\}}$$

we have  $\tilde{O} \in \mathcal{N}(\Delta(Z))$  and

$$\tilde{O} \cap \overline{\{(z, f(y_O)) : O \in \mathcal{N}(\Delta(Z))\}} = \emptyset.$$

Since  $U_{\tilde{O}} \in \mathcal{N}_X(q)$  the set  $U_{\tilde{O}} \cap U$  is a  $G_{\alpha^+}$ -neighborhood of  $q$  in  $X$ , so there is  $\tilde{y} \in U_{\tilde{O}} \cap U \cap Y$ . Then  $f(\tilde{y}) = z$  and we have the contradiction

$$(f(\tilde{y}), f(y_{\tilde{O}})) = (z, f(y_{\tilde{O}})) \in \tilde{O} \cap \overline{\{(z, f(y_O)) : O \in \mathcal{N}(\Delta(Z))\}} = \emptyset. \quad \square$$

The following definition enunciates a strictly set-theoretic (non-topological) condition. The concept is used in Theorem 3.8 where we find conditions sufficient to ensure that the hypotheses of Lemma 3.4 are satisfied.

**Definition 3.5.** Let  $\kappa \geq \omega$  and let  $\{X_i : i \in I\}$  be a family of sets and  $Y \subseteq X_I$ . Then  $Y$   $\kappa$ -duplicates  $q \in X_I$  if for every  $J \in [I]^{<\kappa}$  there exists a point  $p \in Y$  such that  $p_J = q_J$ .

**Remarks 3.6.** (a) To help the reader fix ideas, we note that if in Definition 3.5  $X_I$  is a space which is the product of discrete spaces then  $Y$   $\kappa$ -duplicates  $q$  if and only if  $q$  belongs to the  $G_{\kappa^+}$ -closure of  $Y$  in  $X_I$ .

(b) The reader familiar with the works [9, 2.3], [10, 2.1], [8, 2.2] will observe structural parallels between the proofs given there and in Theorem 3.7 below.

**Theorem 3.7.** *Let  $\omega \leq \kappa \leq \alpha$  with either  $\kappa < \alpha$  or  $\alpha$  regular, let  $\{X_i : i \in I\}$  be a set of spaces, and let  $Y$  be dense in an open subset  $U$  of  $(X_I)_\kappa$ . Let  $q \in X_I \setminus Y$  be a point such that  $Y$   $\alpha^+$ -duplicates  $q$  in  $X_I$ . Then for every space  $Z$  and every  $O \in \mathcal{N}_{Z \times Z}(\Delta(Z))$  and every  $f \in C(Y, Z)$ , there is  $J \in [I]^{<\alpha}$  such that  $(f(y), f(y')) \in \overline{O}$  whenever  $y, y' \in Y$  satisfy  $y_J = y'_J = q_J$ .*

**Proof.** We suppose the result fails.

Let  $Y \subseteq U \subseteq \overline{Y}$ ,  $U$  open in  $(X_I)_\kappa$ . For each  $\xi < \alpha$  we define  $y(\xi), y'(\xi) \in Y$ , disjoint basic open neighborhoods  $U(\xi) \subseteq U$  and  $V(\xi) \subseteq U$  in  $(X_I)_\kappa$  of  $y(\xi)$  and  $y'(\xi)$ , respectively, and  $J(\xi), A(\xi) \subseteq I$  such that:

- (i)  $(f(y), f(y')) \notin \overline{O}$  if  $y \in U(\xi) \cap Y$ ,  $y' \in V(\xi) \cap Y$ ;
- (ii)  $A(\xi) := \{i \in R(U(\xi)) \cup R(V(\xi)) : y(\xi)_i \neq q_i \text{ or } y'(\xi)_i \neq q_i\}$ ;
- (iii)  $U(\xi)_i = V(\xi)_i$  if  $i \in I \setminus A(\xi)$ ;
- (iv)  $y(\xi)_i = y'(\xi)_i = q(\xi)_i$  for  $i \in J(\xi)$ ; and with
- (v)  $J(0) = \emptyset$ ,  $J(\xi) = \cup_{\eta < \xi} A(\eta)$  for  $0 < \xi < \alpha$ .

To begin, we choose  $y(0) \in Y$  and  $y'(0) \in Y$  such that  $(f(y(0)), f(y'(0))) \notin \overline{O}$ , and open neighborhoods  $W_y(0)$  and  $W_{y'}(0)$  in  $Z$  of  $f(y(0))$  and  $f(y'(0))$ , respectively, such that  $(W_y(0) \times W_{y'}(0)) \cap \overline{O} = \emptyset$ . Then  $(W_y(0) \times W_{y'}(0)) \cap \Delta_Z = \emptyset$ , so  $W_y(0) \cap W_{y'}(0) = \emptyset$ . It follows from the continuity of  $f$  that there are disjoint, basic open neighborhoods  $\widetilde{U}(0) \subseteq U$  and  $\widetilde{V}(0) \subseteq U$  in  $(X_I)_\kappa$  of  $y(0)$  and  $y'(0)$ , respectively, such that  $(f(y), f(y')) \notin \overline{O}$  for all  $y \in \widetilde{U}(0) \cap Y$  and  $y' \in \widetilde{V}(0) \cap Y$ . Then, define  $A(0) := \{i \in R(\widetilde{U}(0)) \cup R(\widetilde{V}(0)) : y(0)_i \neq q_i \text{ or } y'(0)_i \neq q_i\}$  and define (basic open) neighborhoods  $U(0)$  and  $V(0)$  in  $(X_I)_\kappa$  of  $y(0)$  and  $y'(0)$ , respectively, as follows:

$$\begin{aligned} U(0)_i &= V(0)_i = X_i \text{ if } i \in I \setminus (R(\widetilde{U}(0)) \cup R(\widetilde{V}(0))); \\ U(0)_i &= V(0)_i = \widetilde{U}(0)_i \cap \widetilde{V}(0)_i \text{ if } i \in (R(\widetilde{U}(0)) \cup R(\widetilde{V}(0))) \setminus A(0); \text{ and} \\ U(0)_i &= \widetilde{U}(0)_i, V(0)_i = \widetilde{V}(0)_i \text{ if } i \in A(0). \end{aligned}$$

Then  $U(0) \subseteq U$  and  $V(0) \subseteq U$ , and (i)–(v) hold for  $\xi = 0$ .

Suppose now that  $0 < \xi < \alpha$  and that  $y(\eta), y'(\eta) \in Y$ ,  $U(\eta) \subseteq U$ ,  $V(\eta) \subseteq U$ , and  $A(\eta), J(\eta) \subseteq I$  have been defined for  $\eta < \xi$  satisfying (the analogues of) (i)–(v). Since  $J(\xi)$ , defined by (v), satisfies  $|J(\xi)| < \alpha$ , there are  $y(\xi)$  and  $y'(\xi)$  in  $Y$  such that (iv) holds and  $(f(y(\xi)), f(y'(\xi))) \notin \overline{O}$ , and open neighborhoods  $W_y(\xi)$  and  $W_{y'}(\xi)$  in  $Z$  of  $f(y(\xi))$  and  $f(y'(\xi))$ , respectively, such that  $(W_y(\xi) \times W_{y'}(\xi)) \cap \overline{O} = \emptyset$ . Then  $(W_y(\xi) \times W_{y'}(\xi)) \cap \Delta_Z = \emptyset$ , so  $W_y(\xi) \cap W_{y'}(\xi) = \emptyset$ . It follows from the continuity of  $f$  that there are disjoint, basic open neighborhoods  $\widetilde{U}(\xi) \subseteq U$  and  $\widetilde{V}(\xi) \subseteq U$  in  $(X_I)_\kappa$  of  $y(\xi)$  and  $y'(\xi)$ , respectively, such that

$(f(y), f(y')) \notin \overline{O}$  for all  $y \in \widetilde{U(\xi)} \cap Y$ ,  $y' \in \widetilde{V(\xi)} \cap Y$ . Then, define  $A(\xi) := \{i \in R(\widetilde{U(\xi)}) \cup R(\widetilde{V(\xi)}) : y(\xi)_i \neq q_i \text{ or } y'(\xi)_i \neq q_i\}$  and define (basic open) neighborhoods  $U(\xi)$  and  $V(\xi)$  in  $(X_I)_\kappa$  of  $y(\xi)$  and  $y'(\xi)$ , respectively, as follows:

$$U(\xi)_i = V(\xi)_i = X_i \text{ if } i \in I \setminus (R(\widetilde{U(\xi)}) \cup R(\widetilde{V(\xi)}));$$

$$U(\xi)_i = V(\xi)_i = \widetilde{U(\xi)}_i \cap \widetilde{V(\xi)}_i \text{ if } i \in (R(\widetilde{U(\xi)}) \cup R(\widetilde{V(\xi)})) \setminus A(\xi); \text{ and}$$

$$U(\xi)_i = \widetilde{U(\xi)}_i, V(\xi)_i = \widetilde{V(\xi)}_i \text{ if } i \in A(\xi).$$

Then  $U(\xi) \subseteq U$  and  $V(\xi) \subseteq U$ , and (i)–(v) hold. The recursive definitions are complete.

We note that if  $\eta < \xi < \alpha$  and  $i \in A(\eta)$  then  $y(\xi)_i = y'(\xi)_i = q(\xi)_i$  and hence  $i \notin A(\xi)$ . That is: the sets  $A(\xi)$  ( $\xi < \alpha$ ) are pairwise disjoint.

Let  $J(\alpha) := \cup_{\eta < \alpha} A(\eta)$ . Since  $|J(\alpha)| = \alpha$  and  $Y$   $\alpha^+$ -duplicates  $q$  in  $X_I$ , there is  $\overline{p} \in Y \subseteq U$  such that  $q_{J(\alpha)} = \overline{p}_{J(\alpha)}$ . Notice that each basic open neighborhood  $W \subseteq U$  of  $\overline{p}$  in  $(X_I)_\kappa$  satisfies  $|\{\xi < \alpha : W \cap U(\xi) \neq \emptyset\}| \geq \kappa$ . Fix such  $W$  and choose  $\xi < \alpha$  such that  $W \cap U(\xi) \neq \emptyset$  and no  $i \in R(W)$  is in  $A(\xi)$ . (This is possible since  $|R(W)| < \kappa$  and each  $i \in R(W)$  is in at most one of the sets  $A(\xi)$ .) For each such  $\xi$  by (iii) we have  $U(\xi)_i = V(\xi)_i$  for all  $i \in R(W)$ , so also  $W \cap V(\xi) \neq \emptyset$ .

Since  $Y$  is dense in  $U \subseteq (X_I)_\kappa$ , the previous paragraph shows this: For each neighborhood  $W$  in  $U \subseteq (X_I)_\kappa$  of  $\overline{p}$  there is  $\xi$  such that  $W \cap U(\xi) \cap Y \neq \emptyset$  and  $W \cap V(\xi) \cap Y \neq \emptyset$ . Let  $G \in \mathcal{N}_Z(f(\overline{p}))$  satisfy  $G \times G \subseteq O$ . Since  $f$  is continuous at  $\overline{p}$  there is a basic open neighborhood  $W' \subseteq U$  of  $\overline{p}$  such that  $f[W' \cap Y] \subseteq G$ . Then there is  $\xi'$  such that  $W' \cap U(\xi') \cap Y \neq \emptyset$  and  $W' \cap V(\xi') \cap Y \neq \emptyset$ , and with  $y \in W' \cap U(\xi') \cap Y$  and  $y' \in W' \cap V(\xi') \cap Y$  we have  $(f(y), f(y')) \in G \times G \subseteq O$ . This contradicts (i), completing the proof.  $\square$

**Theorem 3.8.** *Let  $\omega \leq \kappa \leq \alpha$  with either  $\kappa < \alpha$  or  $\alpha$  regular, let  $\{X_i : i \in I\}$  be a set of spaces, and let  $Y$  be dense in an open subset  $U$  of  $(X_I)_\kappa$ . Let  $q \in X_I \setminus Y$  be a point such that  $Y$   $\alpha^+$ -duplicates  $q$  in  $X_I$ , and  $Z$  be a space with  $\overline{G_{\alpha^+}}$ -diagonal. Then for every  $f \in C(Y, Z)$ , there is  $J \in [I]^{\leq \alpha}$  such that  $f(y) = f(y')$  whenever  $y, y' \in Y$  are such that  $y_J = y'_J = q_J$ .*

**Proof.** Let  $\{O_\eta : \eta < \alpha\} \subseteq \mathcal{N}_{Z \times Z}(\Delta(Z))$  satisfy  $\Delta(Z) = \cap \{\overline{O}_\eta : \eta < \alpha\}$ . For each  $\eta < \alpha$  there is (by Theorem 3.7)  $J_\eta \in [I]^{< \alpha}$  such that  $(f(y), f(y')) \in \overline{O}_\eta$  whenever  $y, y' \in Y$  satisfy  $y_{J_\eta} = y'_{J_\eta} = q_{J_\eta}$ . We set  $J := \cup \{J_\eta : \eta < \alpha\}$ . Then  $|J| \leq \alpha$ , and  $f(y) = f(y')$  whenever  $y, y' \in Y$  with  $y_J = y'_J = q_J$ .  $\square$

To prove our principal result, Theorem 3.10, we need a simple observation.

**Lemma 3.9.** *Let  $X$  be a space,  $p$  be a  $P(\kappa)$ -point in  $X$  and  $\chi(p, X) \leq \kappa$ . Then there is a local base  $\{U_\alpha : \alpha < \kappa\}$  at  $p$  such that  $U_{\alpha'}(p) \subseteq U_\alpha(p)$  whenever  $\alpha < \alpha' < \kappa$ .*

**Proof.** Let  $\mathcal{V} = \{V_\beta : \beta < \chi(p)\}$  be a base at  $p$ . If  $\chi(p) < \kappa$  we take  $U_\alpha(p) := \cap \mathcal{V}$  for all  $\alpha < \kappa$ . If  $\chi(p) = \kappa$  we set  $U_0 = V_0$  and recursively,

if  $\alpha < \chi(p)$  and  $U_\beta$  has been defined for all  $\beta < \alpha$ , we choose  $\beta'$  so that  $V_{\beta'} \subseteq V_\alpha \cap (\cap_{\gamma < \beta} U_\gamma)$  and we set  $U_\alpha := V_{\beta'}$ .  $\square$

As indicated in our Introduction, it is a feature of the following theorem that the conditions shown sufficient to ensure the extension of certain continuous functions are local at the hypothesized point  $q$ .

**Theorem 3.10.** *Let  $\omega \leq \kappa \leq \alpha$  with either  $\kappa < \alpha$  or  $\alpha$  regular,  $\{X_i : i \in I\}$  be a set of  $T_1$ -spaces, and let  $Y$  be dense in an open subset of  $(X_I)_\kappa$ . Let  $q \in X_I \setminus Y$  be a point such that  $Y$   $\alpha^+$ -duplicates  $q$  in  $X_I$  and  $q_i$  is a  $P(\alpha)$ -point such that  $\chi(q_i, X_i) \leq \alpha$  for each  $i \in I$ . Then  $Y$  is  $C(Z)$ -embedded in  $Y \cup \{q\}$  for each regular space  $Z$  with a  $\overline{G_{\alpha^+}}$ -diagonal.*

**Proof.** Let  $Y \subseteq U \subseteq \overline{Y}$ ,  $U$  open in  $(X_I)_\kappa$ ,  $Z$  be as hypothesized and  $f \in C(Y, Z)$ .

Since  $Y$   $\alpha^+$ -duplicates  $q$  in  $X_I$ , it follows from Theorem 3.8 that there exist  $z \in Z$  and  $J \in [I]^{\leq \alpha}$  such that  $f(y) = z$  for all  $y \in Y$  satisfying  $y_J = q_J$ . We define  $\overline{f} : Y \cup \{q\} \rightarrow Z$  by the rule

$$\overline{f}|_Y = f, \quad \overline{f}(q) = z.$$

We must show  $\overline{f} \in C(Y \cup \{q\}, Z)$ . Since  $Y$  is open in  $Y \cup \{q\}$ , the function  $\overline{f}$  remains continuous at each  $y \in Y$ .

If  $\overline{f}$  is not continuous at  $q$  then from Lemma 3.4 it follows that there is  $O \in \mathcal{N}_{Z \times Z}(\Delta(Z))$  such that for every  $U_q \in \mathcal{N}_{X_I}(q)$  there exist points  $y_{U_q}, y'_{U_q} \in Y \cap U_q$  such that  $(f(y_{U_q}), f(y'_{U_q})) \notin O$ . Let  $V$  be an open neighborhood of  $z$  such that  $\overline{V} \times \overline{V} \subseteq O$  (since  $Z$  is regular such neighborhood  $V$  exists). It follows from the continuity of  $f$  at  $y_U$  and  $y'_U$  that there are basic open neighborhoods  $V_{y_U}$  of  $y_U$  and  $V_{y'_U}$  of  $y'_U$  such that  $V_{y_U} \subseteq U \cap U_q$ ,  $V_{y'_U} \subseteq U \cap U_q$ , and such that  $(f(y), f(y')) \notin \overline{V} \times \overline{V}$  whenever  $y \in V_{x_U} \cap Y$  and  $y' \in V_{y'_U} \cap Y$ .

It follows from the hypotheses that for  $i \in I$  there is a local base  $\{U_\beta(q_i) : \beta < \alpha\}$  at  $q_i$  such that  $U_{\beta'}(q_i) \subseteq U_\beta(q_i)$  whenever  $\beta < \beta' < \alpha$  (see Lemma 3.9). (To avoid ambiguity in this choice we assume without loss of generality that  $X_{i'} \cap X_i = \emptyset$  for  $i, i' \in I$  with  $i \neq i'$ .)

For  $\beta < \alpha$  we define  $J(\beta)$ ,  $U(\beta)$ ,  $y(\beta)$ , and  $y'(\beta)$  as follows:

- (i)  $J(0) = \emptyset$ ;
- (ii)  $U(0) = X_I$ ;
- (iii)  $y(0) = x_{U(0)}, y'(0) = y_{U(0)}$ ;
- (iv)  $J(\beta + 1) = J(\beta) \cup R(V_{y_{U(\beta)}}) \cup R(V_{y'_{U(\beta)}})$ ;
- (v)  $J(\beta) = \cup_{\gamma < \beta} J(\gamma)$  for  $\beta$  limit ordinal;
- (vi)  $U(\beta) = \{z \in X_I : z_i \in U_\beta(q_i) \text{ for } i \in J(\beta)\}$ ; and
- (vii)  $y(\beta) = y_{U(\beta)}, y'(\beta) = y'_{U(\beta)}$ .

For every  $\beta$  we have  $|J(\beta)| < \alpha$  since  $\kappa < \alpha$  or  $\alpha$  is regular. Hence  $U(\beta)$  is a  $G_\alpha$ -neighborhood of  $q$  in  $(X_I)_\kappa$ . Let  $J_1 = \cup_{\beta < \alpha} J(\beta)$  and  $J_2 = J \cup J_1$ . Let  $U'(\beta) = \{z \in X_I : z_i \in U_\beta(q_i), i \in J_2\}$  for  $\beta < \alpha$ . Clearly  $U'(\beta)$  is a  $G_{\alpha^+}$ -set for every  $\beta < \alpha$  and therefore  $\cap_{\beta < \alpha} U'(\beta)$  is a nonempty  $G_{\alpha^+}$ -set in  $X_I$  that contains  $q$ . Therefore there exists a point  $w \in Y \cap (\cap_{\beta < \alpha} U'(\beta))$ . Since  $w_i = q_i$  for each  $i \in J_2$ , we have  $w_J = q_J$  and therefore  $f(w) = z$ . Since  $f$  is continuous at  $w$  there is a basic open neighborhood  $W \subseteq U$  of  $w$  such that  $f[Y \cap W] \subseteq V$ . Let  $\beta < \alpha$  be such that  $\pi_J[U(\beta)] \subseteq \pi_J[W]$  (such  $\beta$  exists since  $\pi_J(w) = \pi_J(q)$  and  $\kappa < \alpha$  or  $\alpha$  is regular). Then  $\pi_J[V_{y_{U(\beta)}}] \subseteq \pi_J[W]$ ,  $\pi_J[V_{y'_{U(\beta)}}] \subseteq \pi_J[W]$  and since  $R(V_{y_{U(\beta)}}) \subseteq J$  and  $R(V_{y'_{U(\beta)}}) \subseteq J$  we have  $W \cap V_{y_{U(\beta)}} \neq \emptyset$  and  $W \cap V_{y'_{U(\beta)}} \neq \emptyset$ . Therefore there exist  $y \in W \cap V_{y_{U(\beta)}} \cap Y$  and  $y' \in W \cap V_{y'_{U(\beta)}} \cap Y$ . It follows from the choice of  $V_{y_{U(\beta)}}$  and  $V_{y'_{U(\beta)}}$  that  $(f(y), f(y')) \notin \bar{V} \times \bar{V}$  and at the same time  $(f(y), f(y')) \in V \times V \subseteq O$ . This contradiction completes the proof.  $\square$

#### 4. Corollaries of Theorem 3.10

In this section we state some special cases of Theorem 3.10 and some results that follow directly from it.

**Theorem 4.1.** *Let  $\omega \leq \kappa \leq \alpha$  with either  $\kappa < \alpha$  or  $\alpha$  regular. Let  $\{X_i : i \in I\}$  be a set of  $T_1$ -spaces, and let  $Y$  be dense in an open subset of  $(X_I)_\kappa$ . If every  $q$  in the  $G_{\alpha^+}$ -closure of  $Y$  in  $X_I$  is a  $P(\alpha)$ -point such that  $\chi(q_i, X_i) \leq \alpha$  for each  $i \in I$ , and if  $Y$   $\alpha^+$ -duplicates  $q$ , then  $Y$  is  $C(Z)$ -embedded in its  $G_{\alpha^+}$ -closure for each regular space  $Z$  with a  $\overline{G_{\alpha^+}}$ -diagonal.*

The countable cases of Theorem 3.10 and Theorem 4.1 are the following.

**Theorem 4.2.** *Let  $\{X_i : i \in I\}$  be a set of  $T_1$ -spaces,  $Y$  be dense in some open subset of  $X_I$ , and let  $q \in X_I \setminus Y$  belong to the  $G_\delta$ -closure of  $Y$  and be such that  $\chi(q_i, X_i) \leq \omega$  for every  $i \in I$ . Then  $Y$  is  $C(Z)$ -embedded in  $Y \cup \{q\}$  for every regular  $T_1$ -space  $Z$  with a  $\overline{G_\delta}$ -diagonal.*

**Theorem 4.3.** *Let  $\{X_i : i \in I\}$  be a set of  $T_1$ -spaces and let  $Y$  be dense in an open subset of  $X_I$ . If  $\chi(q_i, X_i) \leq \omega$  for every  $i \in I$  and every  $q$  in the  $G_\delta$ -closure of  $Y$  in  $X_I$ , then  $Y$  is  $C(Z)$ -embedded in its  $G_\delta$ -closure in  $X_I$  for every regular space  $Z$  with a regular  $G_\delta$ -diagonal.*

Let  $X$  be a space with a subspace  $Y$  such that, for a family  $\{Z_j : j \in J\}$  of spaces,  $Y$  is  $C(Z_j)$ -embedded in  $X$  for each  $j$ . If  $Z$  is a closed subspace of the product space  $\prod_{j \in J} Z_j$ , then  $Y$  is also  $C(Z)$ -embedded in  $X$ . (Indeed for  $f \in C(Y, Z)$  we have  $\pi_j \circ f \in C(Y, Z_j)$  for each  $j$ , and then the hypothesized family  $\{\pi_j \circ f : j \in J\}$  of continuous extensions furnishes  $\bar{f}$  such that  $f \subseteq \bar{f} \in C(X, \prod_{j \in J} Z_j)$ , with  $\bar{f}[X] \subseteq Z$  since  $Z$  is closed in  $\prod_{j \in J} Z_j$ .)

Familiar applications of this argument show (a) if  $Y$  is  $C$ -embedded in  $X$  then  $Y$  is  $C(Z)$ -embedded in  $X$  for each space  $Z$  closed in a space of the form

$\mathbb{R}^J$  (these are the so-called *realcompact* spaces); (b) if  $Y$  is  $C(M)$ -embedded in  $X$  for each metrizable space  $M$  then  $Y$  is  $C(Z)$ -embedded in  $X$  for each space  $Z$  closed in a product of metrizable spaces (such spaces are called *topologically complete* or, by some authors, *Dieudonné-complete*). For alternative definitions and characterizations of realcompact and of topologically complete spaces, see [15].

For the case when  $Z$  is a metric space, we have the following.

**Theorem 4.4.** *Let  $\kappa \geq \omega$  be regular,  $\{X_i : i \in I\}$  be a set of  $T_1$ -spaces and let  $Y$  be dense in an open subset of  $(X_I)_\kappa$ . Let  $q \in X_I \setminus Y$  be a point such that  $Y$   $\kappa^+$ -duplicates  $q$  in  $X_I$  and  $q_i$  is a  $P(\kappa)$ -point such that  $\chi(q_i, X_i) \leq \kappa$  for each  $i \in I$ . Then  $Y$  is  $C(Z)$ -embedded in  $Y \cup \{q\}$  for every metric space  $Z$ , hence for every topologically complete space  $Z$ .*

**Theorem 4.5.** *Let  $\kappa \geq \omega$  be regular,  $\{X_i : i \in I\}$  be a set of  $T_1$ -spaces and let  $Y$  be  $G_{\kappa^+}$ -dense in  $(X_I)_\kappa$ . If for every  $q \in (X_I)_\kappa \setminus Y$  and  $i \in I$  the point  $q_i$  is a  $P(\kappa)$ -point in  $X_i$  with  $\chi(q_i, X_i) \leq \kappa$ , then  $Y$  is  $C(Z)$ -embedded in  $(X_I)_\kappa$  for every metric space  $Z$ , hence for every topologically complete space  $Z$ .*

The countable case of Theorem 4.5 is this.

**Theorem 4.6.** *Let  $\{X_i : i \in I\}$  be a set of  $T_1$ -spaces and  $Y$  be a  $G_\delta$ -dense subspace of  $X_I$ . If for every  $q \in X_I \setminus Y$  and  $i \in I$  the point  $q_i$  is such that  $\chi(q_i, X_i) \leq \omega$ , then  $Y$  is  $C(Z)$ -embedded in  $X_I$  for every metric space  $Z$ , hence for every topologically complete space  $Z$ .*

The theorem of R. Pol and E. Pol [21] and Arhangel'skiĭ [4, 1.9, 2.21] (see also [5]) mentioned in the abstract is a special case of the case  $\mathbb{R} = Z$  of Theorem 4.6 (see also Corollary 4.12).

Theorem 4.6 generalizes the following theorem of Ulmer [24].

**Theorem 4.7.** *Let  $\{X_i : i \in I\}$  be a set of  $T_1$ -spaces such that  $\chi(X_i) \leq \omega$  for every  $i \in I$ . Then each space of the form  $\Sigma(q) \subseteq X_I$  is  $C$ -embedded in  $X_I$ .*

Another generalization of Ulmer's theorem is the following.

**Corollary 4.8.** *Let  $\kappa \geq \omega$  be a regular cardinal and  $\{X_i : i \in I\}$  be a set of  $T_1$ ,  $P(\kappa)$ -spaces such that  $\chi(X_i) \leq \kappa$  for every  $i \in I$ . Then each  $G_{\kappa^+}$ -dense subspace of  $(X_I)_\kappa$  is  $C$ -embedded in  $(X_I)_\kappa$ .*

Corollary 4.8 has in addition the following consequence.

**Theorem 4.9.** [19] *In a product of separable metric spaces every  $G_\delta$ -dense subset is  $C$ -embedded.*

The attention which the  $\Sigma$ -products  $\Sigma(p) \subseteq X_I$  have attracted with respect to questions of  $C$ -embedded subspaces of product spaces might lead one to believe that every  $G_\delta$ -dense  $C$ -embedded subspace must contain such a space.

In [9, 2.7] it is shown that this is by no means the case. Indeed, in appropriate circumstances a  $G_\delta$ -dense,  $C$ -embedded subspace of a product space  $X_I$  may meet each  $\Sigma$ -product  $\Sigma(p) \subseteq X_I$  in at most one point.

Ulmer, in [23] and [24], constructed an example showing that not every  $\Sigma$ -product in every (Tychonoff) product space is  $C$ -embedded. Extending his ideas, the following is shown in [9, 3.2]:

**Example 4.10.** *For every  $\kappa \geq \omega$  there are a set  $\{X_i : i \in I\}$  of Tychonoff spaces, with  $|I| = \kappa$ ,  $q \in X_I$  and  $f \in C((X_I \setminus \{q\}), \{0, 1\})$ , such that no continuous function from  $X_I$  to  $\{0, 1\}$  extends  $f$ . One may arrange further that either*

- (i) *there is  $i_0 \in I$  such that  $\psi(X_{i_0}) = \omega$ , while for  $i_0 \neq i \in I$  the space  $X_i$  is the one-point compactification of a discrete space with cardinality  $\kappa$ ; or*
- (ii) *the spaces  $X_i$  are pairwise homeomorphic, with  $\psi(X_i) = \omega$  and either*
  - (a) *all but one point in each space  $X_i$  is isolated; or*
  - (b) *each space  $X_i$  is dense-in-itself.*

**Theorem 4.11.** *Let  $X$  and  $Z$  be spaces such that each dense subset of  $X$  is  $C(Z)$ -embedded in its  $G_\delta$ -closure in  $X$ . Let  $Y$  be dense in an open subset  $U$  of  $X$ . Then  $Y$  is  $C(Z)$ -embedded in its  $G_\delta$  closure in  $U$ . Indeed for each  $f \in C(Y, Z)$  there is a function  $\bar{f} \in C(Y', Z)$  such that  $f \subseteq \bar{f}$  and  $Y'$  is  $G_\delta$ -closed in a dense open subset of  $X$ .*

**Proof.** Let  $f \in C(Y, Z)$ . Fix  $z \in Z$ , set  $V := X \setminus \text{cl}_X U$ , and let  $Y'$  be the  $G_\delta$ -closure in  $X$  of  $Y \cup V$ . Define  $f' : Y \cup V \rightarrow Z$  by:  $f'(y) = f(y)$  when  $y \in Y$ ,  $f'(x) = z$  when  $x \in V$ . Since  $f' \in C(Y \cup V, Z)$  and  $Y \cup V$  is dense in  $X$ , there is  $\bar{f} \in C(Y', Z)$  such that  $f' \subseteq \bar{f}$ . From  $f \subseteq f'$  follows  $f \subseteq \bar{f}$ , as required.  $\square$

According to a definition of Arhangel'skiĭ [1] a space is a *Moscow space* if the closure of each of its open subsets is the union of  $G_\delta$  sets. Among Tychonoff spaces, the Moscow spaces are characterized [5] as those for which each dense set is  $C$ -embedded in its own  $G_\delta$ -closure. The case  $Z = \mathbb{R}$  of the preceding theorem simply duplicates or confirms the obvious assertion that an open subset of a Moscow space is a Moscow space. Clearly, another corollary of the special case  $Z = \mathbb{R}$  of Theorem 4.6 can be stated efficiently using the notion of Moscow spaces.

**Corollary 4.12.** *Let  $\kappa \geq \omega$  be a regular cardinal and  $\{X_i : i \in I\}$  be a set of  $T_1$ ,  $P(\kappa)$ -spaces such that  $\chi(X_i) \leq \kappa$  for every  $i \in I$ . Then  $(X_I)_\kappa$  is a Moscow space.*

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